



## Senior Internal Auditor

The successful candidate will be a dedicated and self-motivated professional, responsible for performing and executing financial, operational, compliance, and strategic assurance and consulting engagements across all business areas of Clarien Bank Limited and its subsidiaries ("Clarien Group of Companies" or "Group"). The successful candidate will report to the VP, Internal Audit.

### Primary Responsibilities:

- Plan and execute assurance engagements, consulting engagements, and any required special investigations.
  - Design and complete risk-based review programs that effectively assess the organization's control environment.
  - Liaise with business units during the course of engagements to proactively notify clients of areas of improvement, recommend process enhancements and control solutions for Management to properly treat (i.e. mitigate, avoid, retain, transfer, increase) risk exposures, and collaborate with clients while improving the Bank's overall internal control system.
  - Prepare and finalize written audit reports for review by the VP, Internal Audit for inclusion in periodic reporting to the Audit Committee of the Board and Executive Management.
  - Follow-up to ensure Management's action plans are progressing and addressing the risk exposures and control observations previously highlighted by Internal Audit and other internal and external assurance functions (e.g. ERM, ORM, regulators, independent auditors).
  - Supervise and develop staff throughout the course of assurance and consulting engagements.
  - Represent the Internal Audit Department on organizational project teams, at management meetings and with external organizations.
  - Coordinate and liaise with the independent auditors to ensure year-end audit control testing by Internal Audit is performed efficiently, effectively, timely, and meets their expectations/requirements.
  - Keep current with developments and regulatory/legislative changes in the Financial Services industry, governing regulatory landscapes, and the auditing profession.
  - Perform other duties and specific projects as required by the VP, Internal Audit, Executive Management and the Audit Committee of the Board.
- Knowledge of management information systems, terminology, concepts and practices;
  - Ability to perform data mining and data analytics is strongly desired;
  - Knowledge of contemporary risk management and internal control techniques and internal control frameworks;
  - Knowledge of financial services industry policies, procedures, applicable regulations and laws;
  - Skill in conducting quality control reviews of audit work products;
  - High level of maturity, professionalism, credibility and interpersonal skills when interacting with colleagues and clients at all levels within the organization;
  - Ability to effectively communicate (written and oral) with various individuals within the organization, including executive and senior level management;
  - Keen organizational, analytical, and decision-making skills;
  - Ability to prioritize and handle a demanding workload with strict deadlines;
  - Strong computer skills, including working knowledge of Microsoft Office suite applications.

### The successful candidate must have:

- Minimum Education:
  - College degree in Accounting, Business, Finance or a related subject.
  - Internal Audit certification (CIA, CISA, CFSA, or CRISC) or Professional Accounting qualification (CA, CPA, ACCA) is required. Preference will be given to those with an Internal Audit certification.
- Minimum Experience:
  - 5+ years of recent internal auditing, internal controls, and/or external auditing within the financial services environment.
  - Previous banking, trust, investment management experience is required.
  - Managerial/supervisory experience is strongly desired.
- Sound working knowledge of the International Standards for the Professional Practice of Internal Auditing (Standards) and Code of Ethics;
- Cross functional audit/assurance experience within the Financial Services industry, namely Banking, Trust, Investment Management;
- Versatile skills and experience to deliver assurance and consulting engagements for a wide-range of business processes and financial products;

### Competencies:

- Accuracy
- Technical proficiency
- Decision making and judgement
- Teamwork and collaboration

Clarien is an equal opportunity employer and offers a competitive compensation package commensurate with qualifications and experience.

Please submit a detailed cover letter and résumé no later than November 30<sup>th</sup>, 2022 to:

**Human Resources Department**  
**25 Reid Street, Hamilton HM 11**  
**P.O. Box HM 665, Hamilton HM CX**  
**Email:** [jobs@clarienbank.com](mailto:jobs@clarienbank.com)  
**Fax:** + 441 296 7701

Point House, 6 Front Street, Hamilton HM 11, Bermuda  
[www.clarienbank.com](http://www.clarienbank.com) | 441 296 6969

We sincerely thank all applicants for their interest. Only those candidates under consideration will be contacted.

Clarien Bank Limited, through its wholly owned subsidiary companies, is licensed to conduct bank, investments, corporate service provider and trust business by the Bermuda Monetary Authority.

