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Group Onboarding Checklist - Trust

Refer to this checklist if you are responding for a Trust.

Note:

- All documents submitted must be originals or original certified copies.
- Clarien may request additional information and/or additional supporting verification based on information received.
- Bank forms referenced may be accessed at www.clarienbank.com/keeping-our-customers-safe

Trustee Requirements – if Corporate Trustees – Regulated Licensed Trust Company

- [ ] Certified copy of Certificate of incorporation & Memorandum of Association
- [ ] Certified copy of any change of name if applicable
- [ ] Certificate of Incumbency detailing all directors and officers of the Company, signed and dated by the Company Secretary, with Company seal affixed
- [ ] Certified copy of the Register of Shareholders disclosing ultimate beneficial ownership
- [ ] Certified copy/evidence of Licence issued by recognized authority
- [ ] Trustee's Resolution to open the relevant account(s) with the appropriate Clarien Entity/ies and conferring authority on the specified signatories to operate the account(s) with sample signatures

Trustee Requirements – if Corporate Trustees – Private Trust Company

- [ ] Completed Corporate Customer Information Form
- [ ] Certified copy of Certificate of incorporation & Memorandum of Association
- [ ] Certified copy of any change of name if applicable
- [ ] Certificate of Good Standing
- [ ] Certificate of Incumbency detailing all directors and officers of the Company, signed and dated by the Company Secretary, with Company seal affixed
- [ ] Certified copy of the Register of Shareholders disclosing ultimate beneficial ownership
- [ ] Trustee's Resolution to open the relevant account(s) with the appropriate Clarien Entity/ies and conferring authority on the specified signatories to operate the account(s) with sample signatures

Trustee Requirements – if Personal Individual Trustees

- [ ] Completed Customer Information Form for each Individual Trustee
- [ ] **See Checklist of documents required for Individual**

Trust Requirements

- [ ] Completed Customer Information Form
- [ ] Certified copy of Trust Deed which shows the following:
  - Name of Trust
  - Date of Trust (Date Trust was settled)
  - Appointment of Trustees, Settlor, Beneficiaries and Protector (if applicable)
  - Trust Jurisdiction
  - Source of Funds / Wealth
- [ ] See Appropriate Checklist of documents required by entity type (eg. Individual or Corporate) – for all applicable parties of the Trust:
  - Settlor
  - Adult Beneficiaries (18 years and older)
    - – Minor Beneficiaries (Copy Passport and Tax Certification/W9 - if available)
  - Protector

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Tax Information

- ☐ Confirmation in relevant Customer Information Form that all tax and exchange control reporting requirements imposed by any applicable jurisdiction will be complied with in respect to all accounts held with Clarien. Clarien may request evidence of declaration of accounts
- ☐ Relevant Tax Form(s) for FATCA / other (W8BEN / W9)

Banking Services

- ☐ Completed Account Application Form
- ☐ Disclosure of Source of Initial & Future Deposit
- ☐ Intended Purpose of Account

If utilizing Investment or Brokerage Services (Additional documentation required per the relevant checklist)

- ☐ Discretionary – Advisory Services - New Account Checklist (Clarien Investments Limited)
- ☐ Brokerage Services – New Account Checklist (Clarien Investments Limited)
- ☐ Brokerage Services – New Account Checklist (Clarien BSX Services Limited)
- ☐ Brokerage Services - New Account Checklist (FBS Brokerage Services Limited)

The requested information/documentation should be submitted to:

Clarien Bank Limited
Point House
6 Front Street
Hamilton HM 11
Attn: Commercial Banking

Should you wish to make an appointment to discuss further, please contact our Clarien Service Centre team on 296-6969.