



VP, Internal Audit

The successful candidate will lead and develop the internal audit function on an ongoing basis to ensure adherence to IIA standards for the professional practice of internal auditing and to align to Clarien's risk management framework. The successful candidate will plan, schedule and perform risk and systems based internal audits of all business areas of Clarien Group Limited and all its subsidiary group companies. The Clarien internal audit function has a matrix reporting into the Group Chief Audit Executive of NCB Financial Group. The successful candidate will be required to adhere to the operating framework and reporting requirements of the Group Internal Audit Division.

Primary Responsibilities:

- Leading and continuing the development of a quality internal audit function
- Leading and further developing a risk and systems based annual planning and audit approach for all the group companies and execute the annual audit plan with reporting on its implementation status
- Reviewing the planning and implementation of internal controls, accounting controls, fraud controls and corporate governance policies
- Monitoring and reporting on the certification of internal controls identified in the ERM framework
- Evaluating the quality of the internal audit function utilizing IIA standards which will consider plan completion, report issuance, issue closure and staff utilization
- Reporting on the impact of any major audit findings where management has decided to assume the risk because of cost or other considerations
- Establishing metrics and agreeing service level agreements with the business governing the timely delivery of internal audit reports
- Ensuring the timely delivery of accurate, objective, concise and constructive internal audit reports, together with recommendations to the business
- Conducting internal audit work and fraud investigations as requested by Senior Management, the Audit Committee or external Auditors
- Conducting investigations and advising on matters or issues reported through the Ethics hotline
- Reporting on the results of audits and investigations to the various committees, including Executive, Operational Risk, Compliance and Audit Committee, as well as the regulators.
- Supervising and mentoring junior members of the Internal Audit team and giving direction, reviewing output and formulate findings and recommendations for enhancement of performance across the organization

- Working diligently to create teamwork and harmony amongst all employees in the department, other departments in the companies and with external auditors
- Performing other duties and specific projects as required by the Group Chief Audit Executive, Senior Management and the Audit Committee.

The successful candidate must have:

Job Specifications:

Minimum Education: Undergraduate degree in Accounting, Business, Finance or a related subject; Professional Accounting qualification, CA, CPA, ACCA or other internationally recognized accounting designation. CIA, CISA or a related professional internal audit/control designation.

Minimum Experience: 10+ years of experience in external and internal auditing in a financial services environment. Previous banking, trust, investment management, and/or brokerage experience would be desirable. 5+ years' managerial experience.

Skills and Abilities:

- A sound working knowledge of the International Standards for the Professional Practice of Internal Auditing
- Cross functional audit experience with financial services industry, preferably banking
- Extensive experience in the planning and implementation of audit and control policies and a thorough understanding of information systems
- Knowledge / experience working with electronic audit working papers and reporting is an advantage; experience optimizing and automating processes and/or computer or data-based audits would be a benefit.
- A high level of maturity, credibility and interpersonal abilities when interacting with senior management and operation managers
- Strong oral and written communication skills.
- Superior organizational, leadership, analytical and decision making skills.
- Ability to prioritize and handle a demanding workload with strict deadlines.
- Strong computer skills.

Clarien is an equal opportunity employer and offers a competitive compensation package commensurate with qualifications and experience.

Please submit a detailed cover letter and résumé no later than December 15th, 2021 to:

Human Resources Department
19 Reid Street, Hamilton HM 11
P.O. Box HM 665, Hamilton HM CX

Email: jobs@clarienbank.com

Fax: + 441 296 7701

www.clarienbank.com | 441 296 6969
Head Office: 19 Reid Street, Hamilton HM11, Bermuda

We sincerely thank all applicants for their interest. Only those candidates under consideration will be contacted.

Clarien Bank Limited, through its wholly owned subsidiary companies, is licensed to conduct bank, investments, corporate service provider and trust business by the Bermuda Monetary Authority.